

<b>Name of Meeting:</b>	Audit and Risk Committee
<b>Date of Meeting:</b>	28 January 2020
<b>Prepared by:</b>	Andrew Dumbleton, Chair of Committee/Non-Executive Director

<p><b>Highlights from the meeting</b></p> <p>Andrew Hughes, from the GGI (Good Governance Institute) who was supporting the Trust in its well-led review, was in attendance to observe the meeting.</p> <p>The Committee received a progress update on the radiology asset utilisation plan which had been given limited assurance by our internal auditor in a previous report. Actions were progressing and would be managed through the Divisional IPR with any exceptions reported to the Committee.</p> <p>The draft operational internal audit plan for 2020/21 for Airedale NHS Foundation Trust was received. A separate meeting was to be set up to consider the plan. AGH Solutions draft operational internal audit plan was also received which had been approved by the AGH Solutions Board. It was discussed that key risks highlighted to the AGH Solutions Board should be addressed and this was to be reviewed.</p> <p>An update was provided by External Audit on planning for the 2019/20 year.</p> <p>A discussion was held on improvements to risk management. A Standard Operating Procedure (SOP) had been produced regarding the Board Assurance Framework (BAF) and it was acknowledged that information would need to be incorporated into the SOP regarding linkages between the BAF and the High Level Risk Register.</p> <p>A paper was presented on the Trust's systems and processes for identifying, recording, monitoring and reviewing high level risk. Timelines were to be developed to the actions identified in the paper.</p> <p>The Committee received counter-fraud reports from the internal auditor for Airedale NHS FT and AGH Solutions. The draft Counter Fraud plans for both organisations were also received.</p>
<p><b>Assurances gained at the meeting</b></p> <p>The Committee received internal audit reports in a number of areas which indicated significant assurance which was pleasing.</p>
<p><b>Challenges from the meeting</b></p> <p>There were some challenges to the internal auditor around the significant assurance opinion on Safer Staffing report as to whether this was accurate. The timing of the audit could have impacted this. The Executive Directors' Group was to consider the opinion awarded.</p> <p>Some Internal Audit recommendations had not received updates from management within target timescales. Internal Audit had requested updates and it was agreed that the Risk and Compliance Group would monitor receipt.</p>

**New / Emerging risks**

The Organisational Governance Deep Dive received a limited assurance opinion from our internal auditor. The audit looked at consistency of the governance of sub-groups below committee level through random sampling agendas, minutes and terms of reference. This had been requested by the governance team who have already put in place plans to rectify and timescales for achievement. Progress will be reported back to the Committee.